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## Substitute for form 1449A/PO PIPEM STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete If Known				
Application Number	10/816,259			
Filing Date	March 31, 2004			
First Named Inventor	Mukul P. Renavikar			
Art Unit	2818			
Examiner Name	Le, Dung Anh			
Attorney Docket Number	42P19022			

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.'	Document Number  Number - Kind Code <sup>a</sup> (it known)	Publication Date Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
W	1	US-2003/0179617	09-25-2003	Gudesen et al.			
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.'	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	74			
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7		WO 03/003377	01-09-2003	Intel Corporation					
		EP 0 468 758	01-29-1992	Semiconductor Energy Lab.					
<u> </u>		DE 198 11 068	06-24-1999	Siemens AG		L.			
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Examiner Signature	Dle	Date Considered	B	2006	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). "See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. "Enter Office that issued the document, by the two-latter code (WIPO Standard ST.3). "For Japanese patent documents, the indication of the year of reign of the Emporer must precede the serial number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. "Applicant is to place a check mark here if English language Translation is attached."

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (w/r) 08/11/2003.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT			<u> </u>	Co	Complete if Known		
			CHDE	Application Number	10/816,259		
			JOUNE	Filing Date	March 31, 2004		
			ICANT	First Named Inventor	Mukul P. Renavikar		
				Art Unit	2818		
				Examiner Name	Le, Dung Anh		
Sheet	2	of	2	Attorney Docket Number	42P19022		

	NON PATENT LITERATURE DOCUMENTS						
	Examiner Initials*	Initials* No.' magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
			Kuo, Y., et al., "Tantalum Nitride Interface Layer Influence on Dielectric Properties of Hafnium Doped Tantalum Oxide High Dielectric Constant Thin Films", Japanese Journal of Applied Physics, Vol. 42, No. 7A, Part 2, 1 July 2003, pp. L769-L771.				
//	<i>\\\\</i>		PCT Search Report and Written Opinion dated April 7, 2006 for International Application No. PCT/US2005/010921, filed 31 March 2005 (12 pages).				
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	Examiner Signature		Date Considered 8 2006				

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<sup>&#</sup>x27;Applicant's unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.